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# 4



MAR 18 2002

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,467	12/04/2001	Keith D. Allen	R-758

CONFIRMATION NO. 7217

## FORMALITIES LETTER



\*OC000000007301515\*

DELTAGEN, INC.  
740 Bay Road  
Redwood City, CA 94063

Adjustment date: 04/29/2002 SDIRETA1  
 03/20/2002 SZEWDIE1 00000047 10005467  
 03 FC:202 -546.00 OP  
 04 FC:203 -81.00 OP

Date Mailed: 01/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/20/2002 SZEWDIE1 00000047 10005467

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:202	546.00 OP
04 FC:203	81.00 OP
05 FC:204	140.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$716.
  - \$72 for 8 total claims over 20.
  - \$504 for 12 independent claims over 3.
  - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1151.

04/29/2002 SDIRETA1 00000025 10005467

01 FC:203	72.00 OP
02 FC:202	504.00 OP

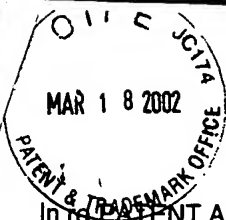
*A copy of this notice **MUST** be returned with the reply.*

Repln. Ref: 04/29/2002 SDIRETA1 0011190400  
 DA: 501271 Name/Number: 10005467  
 FC: 704 \$51.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

In re PATENT APPLICATION of:

Inventors: **Keith D. Allen**  
Appln. No.: **10/005,467**  
Filed: **December 4, 2001**  
Title: **Transgenic Mice Containing PTP36 Tyrosine  
Phosphatase Gene Disruptions**

Order/Docket No. **R-758**  
Deposit Account No. **50-1271**  
Customer No. **26619**

Date: **March 8, 2002**

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

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The following completes the filing under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Applicants hereby claim "small entity" status in accordance with 37 CFR §1.27.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

5. Basic Filing Fee					\$370.00	370.00	201
6. Total Claims	29	minus 20 =	9	x 9.00	81.00	203	
7. Independent Claims	16	minus 3 =	13	x 42.00	546.00	202	
8. Multiple Dependent Claims					140.00	140.00	204
9. Surcharge for filing Declaration/filing fee late					65.00	65.00	205
10. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED =					\$ 1202.00		
11. Original due date: March 14, 2002							
12. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 200. 460. / 720.	0	215
13. If Assignment is included, add recording fee.					\$ 40.00	40.00	581
TOTAL FEE (enclosed): =					\$ 1242.00		

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

**DELTAGEN, INC.**

740 Bay Road  
Redwood City, CA 94063  
Tel (650) 569-5100  
Fax (650) 569-5280

  
MARIETTE A. LAPIZ, Reg. No. 44,202

I certify that the enclosed documents are being deposited with the U.S. Postal Service as First Class Mail, postage paid, in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on March 8, 2002.

  
Deborah A. Mojarro

R-758/MAL